# Trifluridine-tipiracil (LONSURF®)

# National Drug Monograph February 2016

VA Pharmacy Benefits Management Services, Medical Advisory Panel, and VISN Pharmacist Executives

The purpose of VA PBM Services drug monographs is to provide a focused drug review for making formulary decisions. Updates will be made when new clinical data warrant additional formulary discussion. Documents will be placed in the Archive section when the information is deemed to be no longer current.

FDA Approval Information	
Description/Mechanism of Action	Trifluridine-tipiracil is a combination of a nucleoside metabolic inhibitor, trifluridine, and a thymidine phosphorylase inhibitor, tipiracil, which are used together for treatment of patients with metastatic colorectal cancer. Tipiracil increases thymidine exposure by inhibiting its metabolism by thymidine phosphorylase.
Indication(s) Under Review in this document (may include off label)	Treatment of patients with metastatic colorectal cancer who have been previously treated with the following:  • Fluoropyrimidine-, oxaliplatin-, and irinotecan-based chemotherapy  • Anti-VEGF biological therapy  • Anti-EGFR therapy, if KRAS wild-type
Dosage Form(s) Under Review	Oral tablets packaged in 2 strengths.  • 15mg trifluridine/6.14mg tipiracil  • 20mg trifluridine/8.19mg tipiracil
REMS	☐ REMS ☐ No REMS ☐ Post-marketing Requirements
<b>Pregnancy Rating</b>	Fetal harm can occur. Women should be advised of the potential risk to a fetus. See Special Populations for additional information
<b>Executive Summary</b>	
•	In a phase three trial study participants who had metastatic colorectal cancer (mCRC) which had previously been treated with at least 2 other forms of chemotherapy received trifluridine-tipiracil + Best Supportive Care (BSC) or placebo + BSC. The median age in the study was 63 years with 61% males. 58% were white and all patients had an ECOG performance status of 0 or 1.  Overall survival (OS) rates were 7.1 vs 5.3 months, respectively, when comparing trifluridine-tipiracil to placebo. (HR 0.68; 95% CI, 0.58 to 0.81; P<0.001). Progression Free Survival (PFS) rates were 2.0 vs 1.7 months (HR 0.48; 95% CI 0.41-0.57; P<0.001). Disease control rate (DCR) was achieved in 44% of tipiracil-trifluridine subjects and 16% of placebo subjects(P<0.001)  Median length of transition from baseline ECOG score(0 or 1) to 2 or higher was 5.7 vs 4.0 months in the tipiracil-trifluridine vs placebo groups respectively (HR 0.66 (95% CI, 0.56 to 0.78; P<0.001)
•	Adverse events of grade 3 or higher occurred in 69% of the trifluridine-tipiracil patients vs 52% of the placebo patients  Adverse events led to dose reduction in 14% of patients receiving trifluridine-tipiracil  Adverse events led to 4% of Trifluridine-tipiracil patients withdrawing from the study vs 2% of placebo patients.  No clinically meaningful differences with regards to hepatic or renal dysfunction, anorexia, stomatitis, hand-foot syndrome, or cardiac events between the two study arms

Other Considerations	<ul> <li>Drug is available in two strengths of an oral tablet formulation which are considered cytotoxic and will require special handling and disposal procedures.</li> <li>Dose is based upon BSA and may require use of both tablet strengths. Doses are to be taken on a twice daily schedule for 5 days (Days 1-5), followed by 2 days of rest, then another 5 days of treatment (Days 8-12) followed by 15 days of rest. One cycle = 28 days.</li> <li>Table 1: Determination of benefit in mCRC</li> </ul>			
	<ul> <li>Table 1: Determination of benefit in mCRC</li> <li>Outcome in clinically significant area</li> <li>Effect Size</li> <li>Median OS: 7.1 vs 5.3 months         Median PFS: 2.0 vs 1.7 months</li> <li>HR 0.68; 95% CI, 0.58 to 0.81; P&lt;0.001 for OS         HR 0.48; 95% CI 0.41-0.57; P&lt;0.001for PFS</li> <li>Potential Harms</li> <li>Grade 3-4 toxicity including neutropenia (38 vs 0%); leukopenia (21 vs 0%); anemia (18 vs 3%); and febrile</li> </ul>			
	neutropenia (4% vs 0%)  Net Clinical Benefit Minimal (modest benefit, high toxicity)			
Projected Place in Therapy	<ul> <li>Last line/salvage therapy for mCRC after adequate trials of fluoropyrimidine agent, oxaliplatin, irinotecan, anti-VEGF therapy and, if KRAS wild type, an anti-EGFR agent.</li> <li>Determination of trifluridine-tipiracil role in relation to regorafenib is still under investigation</li> </ul>			

Background					
Purpose for review	Recent FDA approval  Issues to be determined:				
	✓ FDA approval September 1  ✓ FDA approval September	tember 2015			
		ce of need for trifluridine-tipiracil			
	✓ Does trifluridine-ti	piracil offer advantages to currently avail	lable alternatives?		
	✓ Does trifluridine-ti	piracil offer advantages over current VA	NF agents?		
	✓ What safety issues	need to be considered?	-		
		piracil have specific characteristics best i	nanaged by the non-		
	formulary process, pr	ior authorization, criteria for use?			
Other therapeutic options	Non-formulary				
	Alternative	Other Considerations			
P3 Phase 3 HFSR Hand Foot Skin Reaction	(if applicable)				
AE Adverse Events HTN Hypertension	Regorafenib	Oral formulation, taken with food; Once daily dosing x 21 days of 28-day	CFU Inclusion Criterion		
, F		cycle;	<u>Inclusion</u>		
		P3 (CORRECT trial): Regorafenib vs.	Life expectancy $\geq 3$ months;		
		placebo results:	ECOG PS 0 or 1;		
		OS: 6.4 vs 5 months, (HR 0.77; 95% CI	Adequate bone marrow, liver		
		0.64-0.94; p=0.0052)	and renal function; Diagnosis of mCRC and		
		PFS: 1.9 vs 1.7 months (HR 0.49; 95%	received all the following		
		CI 0.42-0.58; p<0.0001)	regimens unless not a candidate:		
		Indication: Regorafenib is approved for	Fluoropyrimidine-based;		
		the treatment of patients with metastatic	Oxaliplatin-based;		
		colorectal cancer (mCRC) who have	Irinotecan-based;		
		been previously treated with a fluoropyrimidine agent, oxaliplatin,	Anti-VEGF agent; If KRAS WT, anti-EGFR		
		irinotecan, anti-VEGF therapy and, if	agent, if medically eligible		
	KRAS wild type, an anti-EGFR agent.  OR				
		Toxicity: Adverse events (all grades): 93 vs 61%; Most common AE: fatigue,	Diagnosis of GIST and		
		HFSR, diarrhea, hypertension and rash.	received prior imatinib $\geq 6$		
Grade 3:51 vs 12%; included fatigue 15 months					
		vs. 9%, HFSR 17 vs. 0%, diarrhea 8 vs.			
		2%, HTN 8 vs. 1%, Rash 6 vs. <1% AE led to dose modification: 67 vs 23%			
		ieu to dose modification: 67 vs 23%			

# **Efficacy (FDA Approved Indications)**

### **Literature Search Summary**

A literature search was performed on PubMed/Medline (1966 to January 2016) using the search terms <Trifluridine Tipiracil> and <LONSURF>. The search was limited to studies performed in humans and published in the English language. Reference lists of review articles and the manufacturer's AMCP dossier were searched for relevant clinical trials. There was a single phase 3 trial evaluated for approval by the FDA which leads to a Moderate GRADE for quality of evidence for this medication.

### **Review of Efficacy**

- The FDA approval of Trifluridine-tipiracil was largely based on a single, international, phase 3, randomized, double-blind, placebo-controlled study that was conducted in patients with previously treated metastatic colorectal cancer
- Trifluridine-tipiracil is FDA-approved for the treatment of patients with mCRC who have progressed after receiving
  adequate trials of a fluoropyrimidine agent, oxaliplatin, irinotecan, anti-VEGF therapy and, if KRAS wild type, an antiEGFR agent
- The primary endpoint of the phase 3 clinical trial was overall survival which was modestly prolonged compared to placebo

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Clinical Trial	Trial Details
Clinical Trial Mayer, et al. R, DB, PC N=800  RECOURSE Trial	Methods:  Patients with biopsy documented adenocarcinoma of the colon or rectum were eligible if they were ≥18 years old and had received at least 2 prior regimens of standard chemotherapies.  Prior chemotherapies could have included adjuvant chemotherapies if a tumor recurred within 6 months, if they had tumor progression within 3 months after last administration of chemotherapy, or if they had significant adverse events from standard chemotherapies.  Additional patient requirements included Eastern Cooperative Oncology Group (ECOG) score of 0 or 1, KRAS wild-type status, metastatic lesions defined by RECIST criteria and prior treatment with fluoropyrimidine, oxaliplatin, irinotecan, bevacizumab, and –for patients with KRAS wild-type tumors – cetuximab or panitumumab,  The trial was placebo-controlled, patients assigned in a 2:1 ratio to receive trifluridine-tipiracil plus Best Supportive Care (BSC) or placebo plus BSC and were stratified based on KRAS status, time from first diagnosis of metastatic disease, and geographic region.  Trifluridine-Tipiracil or placebo were administered at a dose of 35 mg/m²/dose of trifluridine or placebo twice daily on days 1-5 and 8-12 of each 28 day cycle.  Dose was rounded to nearest 5mg increment  Maximum of 80 mg (trifluridine component or placebo) in any one dose regardless of total body surface area  Regimen was continued until disease progression or unacceptable toxicity  The primary objective was to demonstrate improvement in overall survival with trifluridine-tipiracil+ BSC in comparison to placebo+BSC in patients with refractory mCRC  Secondary objectives were determination of progression-free survival, safety, and tolerability endpoints.  Results:  The primary and major secondary endpoints for the trial are listed in the table below.  The median age in the study was 63 years with 61% males. 58% were white and all patients had an ECOG performance status of 0 or 1.  Mean duration of therapy: 12.7 ± 12.0 weeks vs 6.8± 6.1 weeks in the trifluridine-tipiracil vs placebo

Intervention	Overall Survival Progression Free (1° Endpoint) Survival		Delay of ECOG elevation
Trifluridine-	7.1 months	2.0 months	5.7 months
Tipiracil + BSC	(95% CI 6.5 to 7.8)	(95% CI 1.9-2.1)	
Placebo + BSC	5.3 months (95% CI 4.6-6.0)	1.7 months (95% CI 1.7-1.8)	4 months
Hazard Ratio for	0.68	0.48	0.66
Comparison	(95% CI 0.58-0.81)	(95% CI 0.41-0.57)	(95% CI 0.56-0.78)

P<0.001 for all comparisons

- Patients were stratified according to KRAS status and time since diagnosis of first metastasis
  - Patients with KRAS Wild Type were more likely to have an increase in OS while on trifluridine-tipiracil compared to those with KRAS Mutant
    - KRAS Wild Type: HR 0.58; 95% CI 0.45-0.74
    - KRAS Mutant: HR 0.80; 95% CI 0.63-1.02
  - o Patients with ≥18 months since diagnosis of first metastasis had an improved overall survival with trifluridine-tipracil compared to those <18 months from diagnosis
    - ≥18 Months: HR 0.64; 95% CI 0.52-0.80 <18 Months: HR 0.84; 95% CI 0.58-1.21
- The number of previous regimens of chemotherapy also had a significant impact on the OS benefit of trifluridine-tipiracil. Hazard ratios below are comparing trifluridine-tipiracil to placebo

2 prior therapies: HR 1.05; 95% CI 0.68-1.63
 3 prior therapies: HR 0.74; 95% CI 0.74-1.08
 24 prior therapies: HR 0.59; 95% CI 0.47-0.73

#### Comments:

- Limitations include an inadequate subset of patients who had previously received regorafenib to determine if there is a role for treatment with regorafenib prior to trifluridine-tipiracil
- OS benefit of 1.8 months vs placebo is modest and comes with high rates of drug-related toxicities. Treatment may not be cost effective.

### **Potential Off-Label Use**

- Currently undergoing investigation for
  - Advanced solid tumors
    - Excluding breast cancer
  - Metastatic gastric cancer
  - Small cell lung cancer
    - After platinum based chemotherapy

## Safety

(for more detailed information refer to the product package insert)

	Comments
Boxed Warning	• None
Contraindications	• None
Warnings/Precautions	<ul> <li>Myelosuppression: Severe and life-threatening myelosuppression consisting of neutropenia (Gr ≥ 3, 38%), anemia (Gr ≥ 3, 18%), febrile neutropenia, and thrombocytopenia (Gr 3 ≥ 6%) occurred within the pivotal study for approval. Obtain complete blood counts prior to and on Day 15 of each cycle. Drug should be withheld in cases of febrile neutropenia, Grade 4 neutropenia or platelets &lt; 50,000/mm³ and resumed at a lower dose when recovered. G-CSF was utilized in ~9.4% of patients.</li> <li>Embryo-fetal toxicity: Based on animal studies and its mechanism of action, embryo-fetal lethality and toxicity may occur even at doses lower than required for treatment. Advise females of reproductive potential to use effective contraception. Advise pregnant women of the risk to the fetus.</li> </ul>

#### **Safety Considerations**

- Myelosuppression with Trifluridine-tipiracil was prominent
- Reproduction:
  - Females: Trifluridine-tipiracil can cause fetal harm when administered to a pregnant woman. Advise females of reproductive potential to use effective contraception during treatment
  - Males: Because of the potential for genotoxicity, advise males with female partners of reproductive potential to
    use condoms during treatment with Trifluridine-tipiracil and for a at least 3 months after the final dose
- Trifluridine-tipiracil is a cytotoxic drug. Follow applicable special handling and disposal procedures
- Patients 65 years of age or older who received Trifluridine-tipiracil had a higher incidence of myelosuppressive adverse events than those younger than 65 years of age
- Trifluridine-tipiracil should be taken twice daily within 1 hour after completion of morning and evening meals
- Dose is based upon BSA and may require use of both tablet strengths to make up one dose. Dosing schedule may be complicated for some as drug is to be taken twice daily for non-consecutive days (5-days on, 2-days off, then 5-days on, followed by a rest period). Patient education and understanding will be very important for successful use of this therapy.

#### **Adverse Reactions**

Common adverse reactions	Incidence ≥ 10%: anemia, neutropenia, asthenia/fatigue, nausea, thrombocytopenia, decreased appetite, diarrhea, vomiting, abdominal pain, and pyrexia.				
Death/Serious adverse reactions	Grade $\geq$ 3: Any event 69 vs. 52%				
(Gr $\geq$ 3: Drug vs. placebo)	• Neutropenia (38 vs. 0%)				
	• Leukopenia (21 vs. 0%)				
	• Anemia (18 vs. 3%)				
	• Febrile neutropenia (4 vs. 0%)				
	<ul> <li>Infections were more common in the trifluridine-tipiracil vs. placebo arm: 27 vs. 15% and included nasopharyngitis and UTIs</li> </ul>				
	• Pulmonary emboli was noted with higher incidence: 2 vs. 0%				
	<ul> <li>One death each due to sepsis, septic shock, pneumonia, pulmonary embolism, pulmonary edema, and liver abscess.</li> </ul>				
Discontinuations due to adverse	4% (vs 2% in the placebo arm) of patients discontinued the medication due to adverse				
reactions	events				
	<ul> <li>14% of patients in the Trifluridine-tipiracil arm required dose reductions</li> <li>Neutropenia, anemia, febrile-neutropenia and decreased neutrophil count accounted for over half of all dose reductions</li> <li>Fatigue, diarrhea, nausea, vomiting and decreased appetite were also significant factors leading to dose reduction</li> </ul>				

# **Drug Interactions**

#### **Drug-Drug Interactions**

- No pharmacokinetic drug-drug interaction studies have been conducted with Trifluridine-tipiracil
- Trifluridine is a substrate thymidine phosphorylase and is not metabolized via cytochrome P450(CYP) enzymes
  - o It is eliminated via thymidine phosphorylase to inactive metabolite 5-(trifluoromethyl) uracil
- · Tipiracil inhibits the metabolism of trifluridine by thymidine phosphorylase and is not metabolized by the liver

# **Risk Evaluation**

As of January 29<sup>th</sup>, 2016

Sentinel event advisories	• None				
Look-alike/sound-alike error					
potential	NME Drug Name	Lexi-Comp	First DataBank	ISMP	Clinical Judgment
	Trifluridine-tipiracil 15mg-6.14mg tab, 20mg-8.19mg tab	Trifluoperazine	None	None	Tegafur- Uracil
	Lonsurf	None	None	None	Lorzone Lomustine
	Sources: Based on clinical judgment and an evaluation of LASA information from three				

data sources (Lexi-Comp, First Databank, and ISMP Confused Drug Name List)

# **Other Considerations**

- The FDA has required a single post-marketing pharmacokinetic study to determine the appropriate dose in patients with moderate to severe hepatic impairment and severe renal impairment
- Trifluridine-tipiracil initially became available for use in Japan in March 2014
- If stored outside of the original bottle, medication should be discarded after 30 days
- Obtain complete blood counts prior to and on Day 15 of each cycle at a minimum

Outcome in clinically significant area	Median OS: 7.1 vs 5.3 months	
	Median PFS: 2.0 vs 1.7 months	
Effect Size	HR 0.68; 95% CI, 0.58 to 0.81; P<0.001 for OS	
	HR 0.48; 95% CI 0.41-0.57; P<0.001for PFS	
Potential Harms	Grade 3-4 toxicity including neutropenia (38 vs 0%); leukopenia (21 vs	
	0%); and anemia (18 vs 3%)	
Net Clinical Benefit	Minimal (modest benefit, high toxicity)	

# **Dosing and Administration**

## Dosing:

- 35 mg/m²/dose of trifluridine component orally twice daily on Days 1 through 5 and Days 8 through 12 of each 28-day cycle.
  - o Round dose to nearest 5mg increment
  - o Maximum of 80 mg (trifluridine component) in any one dose regardless of total body surface area
  - Continue regimen until disease progression or unacceptable toxicity
- CBC should be obtained prior to and on Day 15 of each cycle.
- Dose Modifications
  - o Do not initiate cycle of Trifluridine-tipiracil until:
    - ANC  $\ge 1,500/\text{mm}^3$  or febrile neutropenia resolved
    - Platelets  $\geq 75,000 \text{ /mm}^3$
    - Grade 3 or 4 non-hematological reactions are resolved to Grade 0 or 1
  - Within a treatment cycle withhold Trifluridine-tipiracil if:
    - ANC  $\leq 500/\text{mm}^3$  or febrile neutropenia
    - Platelets  $\leq 50,000/\text{mm}^3$
    - Grade 3 or 4 non-hematological adverse reactions
  - Once blood counts have recovered, reduce dose by 5mg/m²/dose from the previous dose level if the following occur:
    - Febrile neutropenia
    - Uncomplicated Grade 4 neutropenia (recovered to ANC ≥ 1500) or thrombocytopenia (recovered to ≥ 75,000) that results in more than 1 week delay in start of next cycle
    - Non-hematologic Grade 3 or Grade 4 adverse reaction except for N/V responsive to antiemetic therapy or diarrhea responsive to antidiarrheal therapy
  - o Maximum of 3 dose reductions are permitted to a minimum dose of 20mg/m<sup>2</sup> twice daily
    - Do not escalate dose after it has been reduced
- Refer to package insert for full dosing information

### Administration:

- Take Trifluridine-tipiracil within 1 hour after completion of morning and evening meals
- Trifluridine-tipiracil is a cytotoxic drug. Follow applicable special handling and disposal procedures

<b>Special Populations (Adults)</b>	
· · · · · · · · · · · · · · · · · · ·	Comments
Elderly	<ul> <li>No differences in overall survival were observed in patients 65 or older versus younger patients, and no adjustment is recommended for the starting dose of Trifluridine-tipiracil based on age.</li> <li>Patients 65 years of age or older who received Trifluridine-tipiracil had a higher incidence of the following compared to patients younger than 65 years: Grade 3 or 4 neutropenia (48% vs 30%), Grade 3 anemia (26% vs 12%, and Grade 3 or 4 thrombocytopenia (9% vs 2%).</li> </ul>
Pregnancy	<ul> <li>Based on animal data and its mechanism of action, Trifluridine-tipiracil can cause fetal harm. Trifluridine-tipiracil caused embryo-fetal lethality and embryo-fetal toxicity in pregnant rats when given during gestation at doses resulting in exposures lower than or similar to exposures at the recommended dose in humans. There are no available data on Trifluridine- tipiracil exposure in pregnant women. Advise pregnant women of the potential risk to a fetus.</li> </ul>
Lactation	• It is not known whether Trifluridine-tipiracil or its metabolites are present in human milk. In nursing rats, trifluridine and tipiracil or their metabolites were present in breast milk. There are no data to assess the effects of Trifluridine-tipiracil or its metabolites on the breastfed infant or the effects on milk production. Because of the potential for serious adverse reactions in breastfeeding infants, advise women not to breastfeed during treatment with Trifluridine-tipiracil and for one day following the final dose.
Females and Males of Reproductive Potential	<ul> <li>Females: Trifluridine-tipiracil can cause fetal harm when administered to a pregnant woman. Advise females of reproductive potential to use effective contraception during treatment.</li> <li>Males: Because of the potential for genotoxicity, advise males with female</li> </ul>

	partners of reproductive potential to use condoms during treatment with Trifluridine-tipiracil and for at least 3 months after the final dose.			
Renal Impairment	<ul> <li>Patients with moderate renal impairment had a higher incidence of ≥ Grade 3 adverse events, serious adverse events, and dose delays and reductions compared to patients with normal renal function</li> </ul>			
	<ul> <li>No dose adjustment is recommended to the starting dose of Trifluridine- tipiracil in those with mild or moderate renal impairment, but these patients may require dose modification due to toxicity; No data exist in patients with severe renal disease.</li> </ul>			
Hepatic Impairment	<ul> <li>No dose adjustments are needed in patients with mild to moderate hepatic dysfunction. No data exist in patients with severe liver disease.</li> </ul>			
Pharmacogenetics/genomics	No data identified.			
Ethnicity	<ul> <li>Primary study was conducted in both the United States and Japan.</li> <li>No significant differences in incidence of adverse events were noted between the Western and Asian study populations</li> </ul>			
	Racial Distribution			
	o 57% White			
	o 35% Asian			
	o 1% Black			
	o 7% Missing			

# **Projected Place in Therapy**

- Trifluridine-tipiracil (LONSURF®) is FDA approved for the treatment of metastatic colorectal cancer in patients who have previously been treated with fluoropyrimidine-, oxaliplatin-, and irinotecan-based chemotherapy, an anti-VEGF biological therapy, and if KRAS wild-type, an anti-EGFR therapy.
- It is estimated that approximately 134,000 new cases of colon and rectal cancer will be diagnosed in the U.S. in 2016 and will result in 49,000 deaths. This makes it the 4<sup>th</sup> most frequently diagnosed and 2<sup>nd</sup> deadliest type of cancer.
- NCCN Guidelines, Version 2.2016, give Trifluridine-tipiracil a Category 2A recommendation for patients with metastatic colon and rectal cancers with disease progression after oxaliplatin- and irinotecan-based chemotherapy.
- The evidence GRADE for trifluridine-tipiracil is moderate based on one large and well-designed clinical trial. The patient population was composed of mostly white males over the age of 60 which does correlate well with the VA population however there was limited ethnic and racial diversity in the study population.
- Currently regorafenib is the only medication which falls in a similar line of therapy and it currently holds a non-formulary status with criteria for use within the VA. Aside from regorafenib, best supportive care (BSC) is recommended in these patients.
- Trifluridine-tipiracil may be useful for patients who are required to take medications that are inhibitors/inducers of CYP3A4
  that could interact with regorafenib

# References

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# **Appendix A: GRADEing the Evidence**

**Designations of Quality** 

Quality of evidence designation Description

High Evidence includes consistent results from well-designed, well-

conducted studies in representative populations that directly assess effects on health outcomes (2 consistent, higher-quality randomized controlled trials or multiple, consistent observational studies with no significant methodological flaws showing large

effects).

Moderate Evidence is sufficient to determine effects on health outcomes, but the

number, quality, size, or consistency of included studies; generalizability to routine practice; or indirect nature of the evidence on health outcomes (1

higher-quality trial with > 100

participants; 2 higher-quality trials with some inconsistency; 2

consistent, lower-quality trials; or multiple, consistent

observational studies with no significant methodological flaws showing at least moderate effects) limits the strength of the

evidence.

Low Evidence is insufficient to assess effects on health outcomes

because of limited number or power of studies, large and

unexplained inconsistency between higher-quality studies, important flaws in

study design or conduct, gaps in the chain of

evidence, or lack of information on important health outcomes.

Please refer to Qaseem A, et al. The development of clinical practice guidelines and guidance statements of the American College of Physicians: Summary of Methods. Ann Intern Med 2010;153:194-199.

# **Appendix B: Approval Endpoints (use for oncology NMEs)**

Table 1. A Comparison of Important Cancer Approval Endpoints

Endpoint	Regulatory Evidence	Study Design	Advantages	Disadvantages
Overall Survival	Clinical benefit for regular approval	Randomized studies essential     Blinding not essential	Universally accepted direct measure of benefit     Easily measured     Precisely measured	<ul> <li>May involve larger studies</li> <li>May be affected by crossover therapy and sequential therapy</li> <li>Includes noncancer deaths</li> </ul>
Symptom Endpoints (patient-reported outcomes)	Clinical benefit for regular approval	Randomized blinded studies	Patient perspective of direct clinical benefit	<ul> <li>Blinding is often difficult</li> <li>Data are frequently missing or incomplete</li> <li>Clinical significance of small changes is unknown</li> <li>Multiple analyses</li> <li>Lack of validated instruments</li> </ul>
Disease-Free Survival	Surrogate for accelerated approval or regular approval*	Randomized studies essential     Blinding preferred     Blinded review recommended	Smaller sample size and shorter follow-up necessary compared with survival studies	<ul> <li>Not statistically validated as surrogate for survival in all settings</li> <li>Not precisely measured; subject to assessment bias, particularly in open-label studies</li> <li>Definitions vary among studies</li> </ul>
Objective Response Rate	Surrogate for accelerated approval or regular approval*	Single-arm or randomized studies can be used     Blinding preferred in comparative studies     Blinded review recommended	Can be assessed in single-arm studies     Assessed earlier and in smaller studies compared with survival studies     Effect attributable to drug, not natural history	<ul> <li>Not a direct measure of benefit in all cases</li> <li>Not a comprehensive measure of drug activity</li> <li>Only a subset of patients with benefit</li> </ul>
Complete Response	Surrogate for accelerated approval or regular approval*	Single-arm or randomized studies can be used Blinding preferred in comparative studies Blinded review recommended	Can be assessed in single-arm studies     Durable complete responses can represent clinical benefit     Assessed earlier and in smaller studies compared with survival studies	Not a direct measure of benefit in all cases     Not a comprehensive measure of drug activity     Small subset of patients with benefit
Progression- Free Survival (includes all deaths) or Time to Progression (deaths before progression censored)	Surrogate for accelerated approval or regular approval*	Randomized studies essential     Blinding preferred     Blinded review recommended	Smaller sample size and shorter follow-up necessary compared with survival studies     Measurement of stable disease included     Not affected by crossover or subsequent therapies     Generally based on objective and quantitative assessment	Not statistically validated as surrogate for survival in all settings Not precisely measured; subject to assessment bias particularly in open-label studies Definitions vary among studies Frequent radiological or other assessments Involves balanced timing of assessments among treatment arms

<sup>\*</sup>Adequacy as a surrogate endpoint for accelerated approval or regular approval is highly dependent upon other factors such as effect size, effect duration, and benefits of other available therapy. See text for details.

Guidance for Industry: Clinical Trial Endpoints for the Approval of Cancer Drugs and Biologics. U.S. Department of Health and Human Services, Food and Drug Administration, Center for Drug Evaluation and Research (CDER), Center for Biologics Evaluation and Research (CBER), May 2007.